

## 1 Linear Algebra Basics

### 1.1 Boolean Function Spaces

Let  $\mathbb{F}_2$  be the field of two elements  $\{0, 1\}$  where addition is  $\pmod 2$  and multiplication is AND.  $\mathbb{F}_2^n$  is the  $n$  dimensional vector space over  $\mathbb{F}_2$  consisting of  $n$  tuples of  $\mathbb{F}_2$ . Let  $\mathcal{F}$  be the set of all functions with domain  $\mathbb{F}_2^n$  and codomain  $\mathbb{F}_2$ . It is easy to verify that  $\mathcal{F}$  is a  $2^n$  dimensional vector space over  $\mathbb{F}_2$  with the natural scalar multiplication and vector addition ( $\pmod 2$ ). Assume  $n \geq 2$ .

a.) For any subset  $S$  of  $\{1, \dots, n\}$ , let  $\text{PARITY}_S : \mathbb{F}_2^n \rightarrow \mathbb{F}_2 \in \mathcal{F}$  be defined as:

$$\text{PARITY}_S(x) = \bigoplus_{i \in S} x_i.$$

For  $S = \emptyset$ , define  $\text{PARITY}_S(x) = 1$  (constant 1 function). Show that the following set of  $2^n$  parity functions are linearly dependent:

$$\{\text{PARITY}_S : S \subseteq \{1, \dots, n\}\}$$

b.) For any subset  $S$  of  $\{1, \dots, n\}$ , let  $\text{AND}_S : \mathbb{F}_2^n \rightarrow \mathbb{F}_2 \in \mathcal{F}$  be defined as:

$$\text{AND}_S(x) = \bigwedge_{i \in S} x_i.$$

For  $S = \emptyset$ , define  $\text{AND}_S(x) = 1$  (constant 1 function). Show that the following set of  $2^n$  functions forms a basis for  $\mathcal{F}$ :

$$\{\text{AND}_S : S \subseteq \{1, \dots, n\}\}$$

### 1.2 Infinite Dimensional Vector Spaces

a.) Consider the set of all functions with domain  $\mathbb{R}$  and codomain  $\mathbb{R}$  as a vector space over  $\mathbb{R}$ . Define a set of basis functions. Are they countable or uncountable? If so why?

b.) Consider  $\mathbb{R}$  as a vector space over the field  $\mathbb{Q}$  (rational numbers). Is there a basis set for the above vector space that is countable. (Remember countable and uncountable

sets from your discrete math course). Explain why?

### 1.3 Rank over different Fields

Let  $\mathbb{K}, \mathbb{F}$  be fields such that  $\mathbb{F} \subset \mathbb{K}$  and the addition, multiplication operations in  $\mathbb{F}$  is the same as that in  $\mathbb{K}$ . For example  $\mathbb{K}$  can be  $\mathbb{R}$  and  $\mathbb{F}$  can be  $\mathbb{Q}$  (or  $\mathbb{C}, \mathbb{R}$  respectively).  $\mathbb{F}^{n \times m}$  is the set of  $n \times m$  matrices with entries in  $\mathbb{F}$ . For any matrix  $M \in \mathbb{F}^{n \times m}$ , we can define rank with respect to  $\mathbb{F}$  as well as  $\mathbb{K}$ . The rank with respect to  $\mathbb{K}$  denoted by  $\text{rank}_{\mathbb{K}}(M) = \dim(\text{span}_{\mathbb{K}}(\text{columns}(M)))$  where  $\text{span}_{\mathbb{K}}(S)$  denotes the vector space spanned by  $S$  by taking linear combinations with scalars from  $\mathbb{K}$ . Similarly we define  $\text{rank}_{\mathbb{F}}(M)$ .

- a.) Show that for  $M \in \mathbb{F}^{n \times m}$ ,  $\text{rank}_{\mathbb{F}}(M) = \text{rank}_{\mathbb{K}}(M)$ .
- b.) Given a binary matrix  $M \in \{0,1\}^{m \times n}$ , show that  $\text{rank}_{\mathbb{R}}(M) \geq \text{rank}_{\mathbb{F}_2}(M)$ . Note that addition and multiplication over  $\mathbb{F}_2$  is different from  $\mathbb{R}$ .  $\text{rank}_{\mathbb{R}}, \text{rank}_{\mathbb{F}_2}$  are defined as earlier with the respective definition of addition and multiplication in  $\mathbb{R}, \mathbb{F}_2$  (ie normal arithmetic and mod 2 arithmetic).

### 1.4 Help Alice & Bob Communicate

Alice and Bob needs to compute a known function  $f : \{0,1\}^n \times \{0,1\}^n \rightarrow \{0,1\}$ . They know the function beforehand and can agree upon a plan. Later Bob will go to Mars. Then both of them will be given some  $x, y \in \{0,1\}^n$  (not known beforehand) respectively and Alice will be allowed to sent a message to Bob. Alice will have access to only  $x$ , Bob will have access to only  $y$  and they do not know the other persons input. Every bit of message Alice communicates is expensive. After getting Alice's message Bob should be able to find out  $f(x, y)$ .

Let  $M \in \{0,1\}^{2^n \times 2^n}$  (binary matrix) be defined as  $M_{i,j} = f(\text{bin}(i), \text{bin}(j))$ , where  $\text{bin}(i)$  is the  $n$  bit binary representation of  $i$  ( $0 \leq i, j < 2^n$ ). Can you design a protocol for them such that Alice only needs to sent  $\text{rank}_{\mathbb{F}_2}(M)$  bits of communication?

### 2.1 Random Walks

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Consider an **undirected graph**  $G = (V, E)$  without any isolated vertices, where  $V$  is a set of  $n$  nodes and

$$E \subseteq \{\{a, b\} : a \neq b \text{ and } a, b \in V\}$$

is a set of edges. The random walk matrix of  $G$  is a matrix  $M$  defined by

$$M_{a,b} = \begin{cases} 1/d_b & \text{if } \{a, b\} \in E \\ 0 & \text{otherwise} \end{cases} \quad \text{where } a, b \in V \text{ and } d_b = |\{\{a, b\} \in E : a \in V\}|$$

$d_b$  is called the degree of the vertex  $b$ .

- a.) Show that if  $\lambda$  is a real eigenvalue ( $\in \mathbb{R}$ ) of  $M$  then  $-1 \leq \lambda \leq 1$ .

**Hint 1** Need to use the facts that a.) eigenvalues of  $M =$  eigenvalues of  $M^T$  b.) columns of  $M$  sum upto 1. Consider an eigenvector  $v$  of  $\lambda$  of  $M^T$ . Let  $i$  be the coordinate of  $v$ , which has the highest absolute value. This coordinate is going to be crucial for the proof to work.

- b.) Show that the column vector  $v$  defined by  $v_a = d_a / (\sum_{b \in V} d_b)$ ,  $\forall a \in V$  is an eigenvector of  $M$  with eigenvalue 1. That is  $Mv = v$ , for any graph  $G$ .
- c.) Show that the maximal number of linearly independent eigenvectors with eigenvalue 1 is equal to the number of connected components in  $G$ .
- d.) Show that  $-1$  is an eigenvalue of  $M$  if and only if  $G$  is a **bipartite graph**.

**Hint 2** Try to show that  $LHS \Rightarrow RHS$  and  $RHS \Rightarrow LHS$  separately for the last two questions.

### 2.2 Polynomials

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Let  $\mathcal{P}_n$  be the set of polynomials (on one variable) of degree less than  $n$ . As you know  $p \in \mathcal{P}_n$ , can be written as a linear combination of the standard monomial basis as follows  $p = \sum_{d=0}^{n-1} p_d x^d$ , where  $p_d$ 's are coordinates with respect to this basis.

- a.) For any polynomial  $q \in \mathcal{P}_n$  (having coordinates  $q_0, \dots, q_{n-1}$  in standard monomial basis), define the function  $T_q : \mathcal{P}_n \rightarrow \mathcal{P}_{2n-1}$ , which maps  $p \mapsto q \times p$  (ie. polynomial

multiplication). Is  $T_q$  a linear transformation? If so what is the matrix of the transformation in the standard monomial basis ie  $\{1, x, x^2, x^3, \dots, x^{n-1}\}$ ? Give the formula for each entry of the matrix for general  $n$ , in the standard monomial basis.

- b.) Let  $n = 4$ . Consider the change of basis, which maps the  $d$ th standard basis to the column vector  $[1, \omega^d, \omega^{2 \cdot d}, \omega^{3 \cdot d}]$ , where  $\omega = e^{i \cdot \frac{2\pi}{4}}$  (a complex number;  $i = \sqrt{-1}$ ). What is the matrix of  $T_q$  with respect to this basis? What is the change of basis matrix for changing coordinates from this new basis back to the standard monomial basis?

## 2.3 Invariance of Eigenvalues

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- a.) Let  $M \in \mathbb{R}^{n \times n}$ . We can define eigenvalues from the left and the right as follows.  $\lambda$  is left eigenvalue of  $M$  iff there exists a nonzero row vector  $v$ , such that  $vM = \lambda v$ . Similarly  $\lambda$  is a right eigenvalue of  $M$  iff there exists a nonzero column vector  $v$ , such that  $Mv = \lambda v$ .

- Show that the set of left eigenvalues and right eigenvalues of any matrix are equal.
- Are the left and right eigenvectors (similarly defined) the same (by taking transpose)?

- b.) Let  $M, M'$  be matrices corresponding to the same linear operator  $T : V \rightarrow V$  ( $V$  is a  $n$  dimensional vector space over some field) with respect to different basis. Also assume that  $T$  is a rank  $n$  operator and  $M$  has  $n$  eigenvalues  $\lambda_1, \dots, \lambda_n$ .

- Show that set of eigenvalues of  $M$  is equal to the set of eigenvalues of  $M'$ .
- Show that  $\det(M) = \det(M') = \prod_{i=1}^n \lambda_i$ .
- Define trace of a matrix, as the sum of diagonal entries. ie  $\text{trace}(M) = \sum_{j=1}^n M_{jj}$ . Show that  $\text{trace}(M) = \text{trace}(M') = \sum_{i=1}^n \lambda_i$ .

### 3.1 An Orthonormal Basis for Boolean Functions

Consider the set of functions with domain  $\{+1, -1\}^n$  and range  $\mathbb{R}$ . Observe that it is a vector space over  $\mathbb{R}$  of dimension  $2^n$ . Consider the inner product and norm defined by

$$\langle f, g \rangle = \frac{1}{2^n} \sum_{x \in \{+1, -1\}^n} f(x)g(x) \quad \text{and} \quad \|f\| = \sqrt{\langle f, f \rangle}.$$

a.) Define the following set of functions,

$$\{\chi_S\}_{S \subseteq \{1, \dots, n\}} \quad \text{where} \quad \chi_S(x) = \prod_{i \in S} x_i.$$

For  $S = \emptyset$ ,  $\chi_S$  is the constant 1 function. Show that these functions form an orthonormal basis under the inner product defined.

b.) Let  $f$  be any function in this space with range  $\{+1, -1\}$  such that

$$f = \sum_{S \subseteq \{1, \dots, n\}} \hat{f}_S \chi_S \quad \text{where} \quad \forall S \subseteq \{1, \dots, n\}, \hat{f}_S \in \mathbb{R}$$

That is  $(\hat{f}_S)_{S \subseteq \{1, \dots, n\}}$  are the coordinates with respect to the  $\chi_S$  basis. Show that

$$\sum_{S \subseteq \{1, \dots, n\}} (\hat{f}_S)^2 = 1.$$

### 3.2

Question 5, Review Problems 14.7, page 274 in [\[CDTW\]](#).

### 3.3

Question 14, Review Problems 14.7, page 276 in [\[CDTW\]](#).

There is a typo in the question.  $V = \text{Span}\{\sin(t), \sin(2t), \sin(3t), \sin(4t)\}$ .

## 4 Deep Quiz 2

### 4.1 Fixed Points

Let  $M$  be a matrix given by

$$\begin{pmatrix} 3 & 2 \\ 2 & 3 \end{pmatrix} \quad \begin{pmatrix} 5 & 4 \\ 4 & 5 \end{pmatrix}$$

Given any vector  $v(0) = \begin{pmatrix} x(0) \\ y(0) \end{pmatrix}$ , we can create an infinite sequence of vectors  $v(1), v(2), \dots$  by using the rule

$$v(t+1) = Mv(t) \quad \text{for all natural numbers } t$$

- a.) Find all vectors  $v(0)$  such that

$$v(0) = v(1) = v(2) = v(3) = \dots$$

- b.) Find all vectors  $v(0)$ , such that  $v(0), v(1), v(2), v(3), \dots$ , belongs to a 1 dimensional subspace.

### 4.2 Commuting Matrices

Let  $A, B$  be commuting matrices of dimension  $n \times n$  (ie  $AB = BA$ ) and suppose  $A$  is diagonalizable with  $n$  distinct eigenvalues.

- a.) Show that if  $v$  is an eigenvector of  $A$  with eigenvalue  $\lambda$ , then  $Bv$  is also an eigenvector of  $A$  with eigenvalue  $\lambda$ .
- b.) Show that if  $v$  is an eigenvector of  $A$ , the  $v$  is also an eigenvector of  $B$ . Should the eigenvalues be the same?
- c.) Explain why the above implies that there is a single change of basis such that  $A, B$  are both diagonal in the same basis.

### 4.3 Decomposition

- a.) Let  $Q$  be an  $n \times n$  orthonormal matrix (columns form an orthonormal basis). For any vectors  $v, u \in \mathbb{R}^n$ , show that

$$u \cdot v = (Qu) \cdot (Qv) \quad (\text{dot product})$$

b.) Let  $\{u_1, \dots, u_n\}$  be column vectors  $\in \mathbb{R}^n$  (ie  $n \times 1$  dimensional) that are orthonormal. Suppose  $M \in \mathbb{R}^{n \times n}$  ( $n \times n$  dimensional matrix) defined by:

$$M = \sum_{i=1}^n \alpha_i u_i u_i^T \quad \text{where } \alpha_i \text{ are scalars.}$$

Note that  $u_i u_i^T$  are  $n \times n$  dimensional. What are the eigenvectors and eigenvalues of  $M$ ? (need to explain why)

### 5.1 An Equivalence

Let  $M \in \mathbb{R}^{n \times n}$  be a symmetric matrix. Show that the following statements are equivalent:

- $\forall v \in \mathbb{R}^n, v^T M v \geq 0$ .
- All eigenvalues of  $M$  are  $\geq 0$ .
- $\exists B \in \mathbb{R}^{n \times n}, M = B^T B$

**Hint 3** Showing equivalence of say three statements i.e. (1)  $\iff$  (2)  $\iff$  (3), is the same as showing (1)  $\iff$  (2)  $\iff$  (3)  $\iff$  (1).

### 5.2

Question 3 in Review Problems 15.1 in [\[CDTW\]](#).



## 6 Deep Quiz 3

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### 6.1

Suppose  $u_1, \dots, u_n \in \mathbb{R}^n$  be orthonormal vectors. They can be considered as column vectors with dimension  $n \times 1$  naturally. Show that

$$\sum_{i=1}^n u_i u_i^T = I$$

where  $I$  is the  $n \times n$  identity matrix.

### 6.2

Suppose  $A, B \in \mathbb{R}^{n \times n}$  such that  $AB = BA$  and  $A, B$  be diagonalizable matrices with  $n$  distinct eigenvalues  $\lambda_1, \dots, \lambda_n$  and  $\gamma_1, \dots, \gamma_n$  respectively. Show that the eigenvalues of the matrix  $A^2 + AB + B^2$  are

$$\lambda_1^2 + \lambda_1 \gamma_{\sigma(1)} + \gamma_{\sigma(1)}^2, \lambda_2^2 + \lambda_2 \gamma_{\sigma(2)} + \gamma_{\sigma(2)}^2, \dots, \lambda_n^2 + \lambda_n \gamma_{\sigma(n)} + \gamma_{\sigma(n)}^2$$

where  $\sigma$  is a permutation of  $\{1, \dots, n\}$  (a one-one, onto function, with same domain and range).

### 6.3

Suppose  $U$  be an  $n$  dimensional vector space and let  $V, W$  be subspaces of  $U$  such that  $U = V \oplus W$ . Let  $V'$  be another subspace of  $U$  such that  $\dim(V') > \dim(V)$ . Show that the set  $V' \cap W$  has a nonzero vector.

**Hint 4** Express the basis vectors of  $V'$  in terms of basis vectors of  $V, W$ .